

The Filson Historical Society

Mss.

A Haldeman Family
H159 Papers, 1843-1985.
250

Eckstein Norton Papers, Estate
Records, official correspondence,
1934-1945.

82x53

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK June 8, 1934

Re: Trust for Ex Norton

Trust Dept.
TN-2110-S

Mr. Ex Norton,
The Kentucky Hotel,
Louisville, Kentucky.

Dear Mr. Norton:

In answer to your letter of June 6th, we wish to advise that half of a statutory trustee's commission on \$50,000 amounts to \$510. The full commission is figured on the basis of 5% on the first \$2,000, 2½% on the next \$20,000 and 1½% on the next \$28,000.

We await further instructions from you before transferring the securities to the trust.

Very truly yours,

V. Macdonald
Assistant Secretary

VM:MK 6/20/34.

\$16,000. Bonds to be deposited 6/25/34.
\$17,000 " " " " Sept. 1934.

5% on \$2,000. = \$100.
2½% " 15,000 = 375.
1½% " 28,000 = 420.
\$475.
1/2 to be paid
in advance. 237.50

The Filson Historical Society

82253

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK June 1, 1934

Re: Trust for Ex Norton

Trust Dept.
TN-2110-S

Mr. Ex Norton,
First City Bank and Trust Co.,
Ninth & Main Streets,
Hopkinsville, Kentucky.

Dear Mr. Norton:

After receiving the proposed trust indenture from Mr. Boardman, we in turn asked our counsel to analyze the agreement and to make any suggestions which would facilitate the administration of the trust. The agreement has been returned to us with a few minor suggested changes, but we do not believe it worthwhile to put them into effect with the exception of one.

In discussing the matter of commissions with Mr. Boardman it was agreed that the trustee would be entitled to commissions allowed by the laws of the State of New York on principal and income, with the further understanding that one-half of the principal commission would be payable at the time of the creation of the trust. The indenture as drawn makes no mention of a receiving fee, and we consequently would like to have your authorization to deduct one-half of the principal fee at the time the trust is set up. We are suggesting handling this in a letter so as to avoid the necessity of executing a new agreement.

If this meets with your approval, will you kindly sign the enclosed copy of this letter and return at your early convenience. We are also awaiting word from you as to the securities which you wish to place in the trust.

Very truly yours,



V. Macdonald
Assistant Secretary

VM:MK
Enc. Letter

6/6/34.
6/20/34.

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK February 1, 1935

Re: Trust for Ex Norton
Agent for Ex Norton

Trust Dept.
TN-10490-S
A-10142-C

Mr. Ex Norton,
606 South Virginia Street,
Hopkinsville, Kentucky.

Dear Mr. Norton:

We acknowledge receipt of your letter requesting that the inception commissions should be charged against the funds on hand in your agency account #10142, and that also an amount of \$4.40 should be transferred from the agency to the new trust, T-10490.

The inception commissions are computed on the rate as set forth in Mr. Macdonald's letter of June 8, 1934, on the basis of 5% on the first \$2,000, 2½% on the next \$20,000 and 1½% on the next \$28,000 on the market value of the securities as of the date that they are received in the trust. We have just computed the market value of the securities placed up to this time as being \$18,298.75 on December 19, 1934. Figured along the above lines, the total inception commission has been determined at \$253.74. These charges will therefore either be made today or tomorrow against the agency account and in the event that there should not be sufficient funds in that account, I shall request Mr. Walker to communicate immediately with you to that effect. As I understand, it there is ample cash on hand, unless there may be some open orders of purchases or sales which I cannot recall at this time.

We might just call to your attention that in your letter it appeared to be your understanding that these inception commissions might be based on the par value of the securities, but as we have shown above, the market value is always used in such instances. In the future upon the placing

Mr. Ex Norton

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February 1, 1935

of any additional securities in the trust, we will then also forthwith determine the inception commissions which may be proper, charging the cost of such commissions to the principal of the trust. In the event that it may at any time be your wish to pay such charges from funds other than the corpus of the trust, it will always be possible for you to forward us your personal check or instruct us to transfer sufficient amounts from any other accounts so as to reimburse the trust for such charges.

We trust that this will be satisfactory and that you will not hesitate to communicate with us if it may not be perfectly clear to you.

Very truly yours,



F. H. Shearer
Assistant Secretary

FHS:MK

2/5/35.

The Filson Historical Society

87453

INSURED EX NORTON		FORM RIDER 928 55	No. 15 098 718		SCALE OF PREMIUM
BORN 10 30 62	RACE	AGE 57	AMOUNT 10000		AMT ADV AGE AMEND
OCCUPATION EXECUTOR OF ESTATE		RATED AGE 62	PLAN LIFE		
ADDRESS MORRISTOWN--MORRIS--N J PO--LOUISVILLE--JEFFERSON--KY FIDELITY & GRUMBUS TRUST CO TRUST DEPT CENTRAL BLDG Bk. AND TRUST CO. 77/70 10 2nd St. 606 So Virginia St. Hubbinsville, Kentucky			C.A.D. (14) 15		UNDER AVERAGE MAY 3 30
			WITH OUT DISABILITY		
			WITH OUT DOUBLE INDEMNITY		DIV MAY 19 30
			YEAR'S PREM. 862 40		W.C. JUL 7 30 APR 14 30 DEC 24 30
BENEFICIARY WITH RIGHT OF REVOCATION SELF			PREM. 862 40		DIV JAN 18 32
JUNE 19/34 BEN CHG TO THE EXECUTOR OF INSD'S LAST WILL FOR THE PURPOSE OF PAYING INHERITANCE & TRANSFER TAXES WHICH MAY BE IMPOSED UPON INSD'S ESTATE AND THE BALANCE IF ANY TO JANE HELM NORTON, WIFE REV. TO LUCY WASHINGTON NORTON, JANE NORTON HALDEMAN & MARY HISE NORTON			POL. WRITTEN 4 14 30		MAY 1 1934
MISCL. & Mode of Termination <input checked="" type="checkbox"/> Divd. Option			MED. EX. 4 7 30		DEC 28 35
LTD TO 10M			PAID OR EXTENDED TO		MAY 1 1934
			DATE OF CANCELLED RENEW.		MAY 2 1934
			RECEIVED FROM		MAY 16 1935
			LOAN 5/14/33		MAR 8 1935
			RED TO LN 577.56		MAY 13 1935
			CAN 11/21/34		
			BRANCH OFFICE METROPOLITAN		
			H DUNLOP HOE ALL		
			LOUISVILLE 5-1/51		
			15 098 718		
			HOME OFFICE 1/5 1		

PREM. PAID TO _____ P. I. INT. PAID TO _____
 EXTENDED TO _____ P. N. S. _____ DATED _____

82X53

The Filson Historical Society

INSURED EX NORTON		No. 15098718 S. C. No. 1
ADDRESS	BENEFICIARY DAUGHTERS SHARE ALIKE OR SURVIVORS OR SURVIVOR. 6/25/34.	
MISCL. & MODE OF TERMINATION		<div style="text-align: right;"> DIV. JUN 16 1935 P.C. MAR 8 1935 D.L. MAY 13 1935 </div>
LOAN—		
3973. April, 1935		

PAID TO: _____

82X53

Premium cashier
 New York Life Insurance Company, 372 Fourth ave. New York.
 Policy Number, 15098718.
 Premium, \$862.40 Due April 14th 1942.
 Interest on Loan & Note, 121.72
 Balance, \$ 984.12
 Cash Dividend, 118.20
 Net balance, \$ 865.92

Amount of the loan note
 as of 7/30/42. \$ 2,781.86

wrote Premium cashier, 4/13/42.

82X53

New York Life Insurance Company
 51 Madison Avenue, Madison Square, New York, N. Y.

[22 Apr. 1942]

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TO THE POLICYHOLDER:

THE PREMIUM LOAN APPLIED FOR ON THIS POLICY TO BE USED TO PAY PREMIUM AND INTEREST HAS BEEN COMPLETED AS SHOWN BELOW.

THE FOLLOWING STATEMENT OF ACCOUNT GIVES THE DETAILS OF THE PREMIUM LOAN TRANSACTION AND MAY BE HELD AS EVIDENCE OF THE PAYMENT OF ANY PREMIUM OR INTEREST DEDUCTED THEREFROM.

DATE	STATEMENT OF ACCOUNT	AMOUNT OF PREMIUM LIEN NOTE AND DIVIDEND INCLUDED	ITEMS DEDUCTED FROM PREMIUM LIEN NOTE
HOME OFFICE			
BRANCH OFFICE	DIVIDEND DEPOSITS WITHDRAWN	\$ 118.20	\$
STATE	ANNUAL DIVIDEND	3094.39 ✓	2217.16 ✓
POLICY NUMBER	PREMIUM LIEN NOTES		121.72
INSURED	INTEREST ON DUE		
RATE OF INTEREST	INDEBTEDNESS PAID FROM IN ADVANCE TO		
INTEREST DUE DATE	LOAN ACCRUED FROM		11.31
AMOUNT OF ANNUAL INTEREST NOT IN ADVANCE	RENEWAL PREMIUMS		862.40
AMOUNT OF ANNUAL INTEREST IN ADVANCE			
INTEREST TO START FROM		\$ 3212.59 ✓	\$ 3212.59 ✓

MR EX NORTON
 1610 SOUTH VIRGINIA ST
 HOPKINSVILLE, KENTUCKY

DIVISION OF POLICY LOANS

GINO J. MARANGHI,
 SUPERINTENDENT.

OFFICIAL PREMIUM RECEIPT

NEW YORK LIFE INSURANCE COMPANY

HOME OFFICE, 51 MADISON AVENUE, NEW YORK 10, N. Y.

RECEIVED, WITH THANKS, THE PAYMENT SPECIFIED BELOW

PREMIUM \$	215.60	POLICY NUMBER	15 098 718 A4	DAY DUE	14	MONTH	APR. 1944
INTEREST ON LOAN OR NOTE	Dividend 153.11 <u>62.49</u>		EX NORTON				
			1610 S VIRGINIA ST				
			HOPKINSVILLE KY				

COUNTERSIGNED BY *[Signature]* PRESIDENT

FOR PREMIUM CASHIER *[Signature]*

IF REMITTANCE OTHERWISE THAN IN CASH HAS BEEN MADE, THIS RECEIPT SHALL BE VOID IF PAYMENT OF SUCH REMITTANCE IS NOT ACTUALLY RECEIVED BY THE COMPANY

82X53

The Filson Historical Society

The annual election of Directors of this Company is held at its Home Office on the second Wednesday in April of each year. The law provides that if no independent nominations are made no votes shall be cast or counted except for candidates nominated by the Board of Directors. The polls are open from 10 A.M. to 4 P.M. and every policyholder whose insurance is in force and has been in force at least one year prior thereto is entitled to vote at such election as provided in Section 198 of the Insurance Law of the State of New York. Any policyholder desiring to vote by mail will receive a ballot and instructions upon application to the Home Office.

There are twenty-four elected Directors and eight are elected annually to serve for three years. Independent nominations may be made by groups of policyholders at least five months prior to the election as provided in Section 198 of the Insurance Law of the State of New York.


Anyone desiring any further information regarding elections should address the Superintendent of Insurance, Albany, New York, under whose supervision all elections are conducted.

3472-R. B. July, 1943.

OFFICIAL PREMIUM RECEIPT
NEW YORK LIFE INSURANCE COMPANY
 HOME OFFICE, 51 MADISON AVENUE, NEW YORK, N. Y.

RECEIVED, WITH THANKS, THE PAYMENT SPECIFIED BELOW

	POLICY NUMBER	DATE DUE
PREMIUM \$ 862.40*	15 098 718 A4	14 DAY OF APR. 1942
INTEREST ON LOAN OR NOTE	EX NORTON	
121.72		
984.12	1610 S VIRGINIA ST	
DIVIDEND	HOPKINSVILLE KY	
118.20		
865.92		


George L. Morrison
 PRESIDENT

IF REMITTANCE OTHERWISE THAN IN CASH HAS BEEN MADE, THIS RECEIPT SHALL BE VOID IF PAYMENT OF SUCH REMITTANCE IS NOT ACTUALLY RECEIVED BY THE COMPANY

COUNTERSIGNED BY \$ 865.92 OF THE AMOUNT REPRESENTED BY THIS RECEIPT PAID BY DEDUCTION FROM PREMIUM LIEN NOTE FOR PREMIUM CASHIER.

3472-R. B. APRIL 1940

82x53

STATEMENT OF ACCOUNT

[17 March 1944]

CENTRAL HANOVER
BANK AND TRUST COMPANY
70 BROADWAY, NEW YORK 15, N. Y.
PERSONAL TRUST DIVISION

Account of
TN10490T CHB&T CO AS TRUSTEE UI DATED
DEC 19 1934 MB AND FOR EX
NORTON

To close of
business:

MO.	DAY	YR.
6	19	44

SHARES OR AMOUNT HELD (Cents Omitted)	DESCRIPTION	RATE	YR. MAT.	INCOME		PRINCIPAL		ENTRY DATE	
				DEBITS	CREDITS	DEBITS	CREDITS	MO.	DAY
	BALANCE MARCH 17 1944				7150 *		2733 *		
PAY	FIRST CITY BANK & TRUST COMPANY HOPKINSVILLE KY ACCOUNT EX NORTON			6650					3 20
PAY	CHBT CO COMMISSION 6 PERCENT ON 70 00 PLUS COMMISSION NOT TAKEN 2 19 44	3 50 1 50		500					3 22
INT	1000 UNITED BISCUIT CO OF AMERICA DEB	3 1/2	5 5		1750				4 1
INT	3000 TEXAS CORP DEB	3	5 9		4500				6 1
PAY	FIRST CITY BANK & TRUST COMPANY HOPKINSVILLE KENTUCKY ACCOUNT EX NORTON HOPKINSVILLE KENTUCKY			5875					4 19
PAY	CHBT CO COMMISSION 6 PERCENT ON 62 50			375					4 20
COL	ALLIS CHALMERS MFG CO CONV DEB 1000 ENTIRE ISSUE CALLED FOR REDEMPTION 5 18 44 AT 104 AND INTEREST WITH 9 1 44 S C A ACCRUED INTEREST	4	5 2		856		104000		4 22
PUR	U S A TREASURY BONDS 1000 AT 103 30 32 ACCRUED INTEREST	2 1/2	5 4	319		103938			5 1
PAY	FIRST CITY BANK AND TRUST CO HOPKINSVILLE KY ACCOUNT EX NORTON INCOME COLLECTED			537					5 19
INT	3000 STANDARD OIL CO OF N J DEB	3	6 1		4500				6 1
INT	5000 U S A SAVINGS BONDS DEFENSE G REG	2 1/2	5 3		6250				6 1

Account of

STATEMENT OF ACCOUNT

To close of business:

MO. DAY YR.

CENTRAL HANOVER BANK AND TRUST COMPANY
70 BROADWAY, NEW YORK 15, N. Y.
PERSONAL TRUST DIVISION

SHARES OR AMOUNT HELD (Cents Omitted)	DESCRIPTION	RATE	YR. MAT.	INCOME		PRINCIPAL		ENTRY DATE	
				DEBITS	CREDITS	DEBITS	CREDITS	MO.	DAY
INT 2000	NATIONAL DAIRY PRODS CORP DEB	3 1/4	60		3250				
PAY	CHBTCO COMMISSION FROM APR 18 1944 TO JUN 17 1944 6 PERCENT ON 145 37			872					619
PAY	FIRST CITY BANK & TRUST CO HOPKINSVILLE KY ACCOUNT EX NORTON INCOME COLLECTED			13128					
						00 *		2795 *	

The Filson Historical Society

To close of
business:

NO.	DAY	MO.	YR.

\$27,200 Bal. Per Value.
Market value same.

DATE	DESCRIPTION	INCOME		RATE	YR.	MO.	DAY
		DEBITS	CREDITS				
1944	12	21400	27200				679
							27200

The Filson Historical Society

Account of

STATEMENT OF ACCOUNT

19 June 1944

TN10490T CHB&TCO AS TRUSTEE UI DATED
DEC 19 1934 MB AND FOR EX
NORTON

To close of
business:

MO.	DAY	YR.
9	19	44

CENTRAL HANOVER
BANK AND TRUST COMPANY
70 BROADWAY, NEW YORK 15, N. Y.
PERSONAL TRUST DIVISION

SHARES OR AMOUNT HELD (Cents Omitted)	DESCRIPTION	RATE	YR. MAT.	INCOME		PRINCIPAL		ENTRY DATE		
				DEBITS	CREDITS	DEBITS	CREDITS	MO.	DAY	
	BALANCE JUNE 19 1944					00 *		2795 *		
SLD	LIGGETT & MYERS TOBACCO CO 1000 AT 120 1 8 ACCRUED INTEREST	5	51					119572		623
PUR	U S A WAR SAVINGS BONDS G 1200 AT 100			REG ✓	21/256			120000		626
INT	6000 U S A SAVINGS BONDS DEFENSE G			REG ✓	21/254			7500		7 1
PAY	FIRST CITY BANK & TRUST CO HOPKINSVILLE KY ACCOUNT EX NORTON HOPKINSVILLE KENTUCKY INCOME COLLECTED					9472				Jan 1 719
INT	5000 U S A TREASURY BONDS			✓	21/254			6250		9 15
PAY	FIRST CITY BANK & TRUST COMPANY HOPKINSVILLE KENTUCKY ACCOUNT EX NORTON FOR INCOME COLLECTED					5307				Mar 1 919
PAY	CHBTCO COMMISSION FROM JUN 17 1944 TO SEPT 17 1944 6 PERCENT ON 157 22					943				
								00 *		2367 * ✓

Please retain this statement to assist in preparing your income tax return.

[20 Oct. 1944]

DAVIES & SON
(LONDON) LTD.
F. C. BENHAM. P. BAYES.

TELEPHONE, MAYFAIR 2420
TELEGRAMS,
HANOVERIAN, WESDO, LONDON.

BY APPOINTMENT TO
THE LATE KING GEORGE V AND THE
PRINCE OF WALES 1920-1936



19 & 20, HANOVER STREET,
LONDON, W. 1.
AND AT
18, AVENUE VICTOR EMMANUEL III
CHAMPS ELYSEES PARIS VIII^e

Ex Norton Esq.
1610 South Virginia Street,
Hopkinsville,
Kentucky,
U.S.A.

20th Oct. 1944.

Sir,

We beg to advise the despatch
per a Blue Striped boating Suit.
of your esteemed order and we trust
that it will be safely received.

We are, Sir,

Your obedient Servants,

Davies & Son
(LONDON) LTD.

\$87.80

87453

The Filson Historical Society

[14 Nov. 1944]

T·D·L·JR

NOV
14 October 1944

Dear Walter:

I am enclosing Tindal's account. I have gone over it and it is o.k., slightly less than he quoted me at the time and less than in the case of Mother's funeral. The large item of the reinforced concrete vault was necessary as no vault had previously been placed in the plot and when I talked with Uncle Ex after Mother's burial he was most anxious that his remains be placed in such a vault. The other account is for the notice in the obituary column which was likewise necessary.

If there is anything else that I can do for you all on this end please do not hesitate to call on me. I appreciated your kind thanks for the arrangements that I did make, Walter, I can only say that I appreciated your letting me help and was more than glad to do anything at all that I could, or can, for my Uncle Ex, to whom I was devoted, he was always more than kind to me and to Mother.

I have been in communication with the

[14 Nov. 1944]

T·D·L·JR

#2

stone cutter. He is cutting the foot stone and will presently make the inscription on the monument in the front as planned. After he has laid out the lettering, but before he cuts, I will go out there to see that it is correctly laid out, etc.. To give you an idea of the cost I quote you from his bill to me of two years ago: Setting and cutting foot stone, \$95.00, 52 Raised letters on Monument, \$130.00. This last item should be rather less in this instance because of fewer letters in the name. Incidentally, this man's name is Feist and he has done all the work on the family plot in the past.

There remains only the matter of the winter covering for the grave and the planting of Ivy in the Spring and I will attend to this, if you wish, when I go out with the stone cutter. Isn't there a lot of business connected with dying -

Meanwhile, Walter, all the best to you and Janie and I hope that the next time we all meet it will be under far happier circumstances.

Sincerely



155 West 56th Street,
New York #19, N. Y.

T-10-10

Tom Leonard

The Filson Historical Society

November 16, 1944.

Mr. Thomas D. Leonard, Jr.
155 West 56th Street,
New York 19, N. Y.

Dear Tom:

I received today your letter of November 14th enclosing Tindale's account and also the Harold Tribune account. Many thanks for all you have done and also for your okay of these accounts which will be taken care of as soon as possible.

We have run into some delays and difficulties and there may be a lapse of time before checks are mailed but I hope it will not be too long. Mr. Norton's will was witnessed in New York and the codicils were witnessed in Hopkinsville. It seems before we can probate the will we must have a deposition from one New York witness and then we must go down to Hopkinsville to probate the will in Christian County. After this is done some securities in New York will have to be sent to Louisville, so that in all I expect it will take three weeks to a month before we can start paying bills. I hope this does not result in any embarrassment to you and if it does we can probably manage some other way.

I have noted what you said about the foot-stone and the inscription on the monument, and am sure that any arrangements which you make will be considered more than proper by every one down here. If you will attend to the winter covering for the grave also it will be greatly appreciated and I hope it is not too

[16 Nov. 1949]

-2-

Mr. Thomas D. Leonard, Jr.

great an imposition on you.

I don't know what we would have done without you and hope that we did not interrupt any of your important jobs. Again many thanks, and I know Jane would want me to send you her love.

Sincerely,

Helen

Also need letter from Mrs Norton, Janet + Hsie asking for new executors

Write

Tom Leonard

155 West 56th Street

New York #19 NY

Thomas D Leonard Jr.

The Filson Historical Society

U/W E NORTON FOR EX NORTON GENL AC		RATE	INCOME DUE DATE MO. DAY	MATURITY MO. DAY YR.	CALL DATE	ACCOUNT NO. 359289	AMOUNT HELD
<p>SCHEDULE OF PROPERTY CLOSE OF BUSINESS NOV 20 1944</p> <p>... BONDS ...</p> <p>AMERICAN TEL & TEL CO DEBENTURE 3 1/4 AO 1 10 161 10 000 ✓</p> <p>LIGGETT & MYERS TOBACCO CO DEB 5 FA 1 8 151 5 000 ✓</p> <p>NATIONAL DAIRY PRODUCTS CORP DEB 3 1/4 JD 1 12 160 6 000 ✓</p> <p>NEW YORK CITY CORPORATE STOCK 3 JD 1 6 180 10 000 ✓</p> <p>SOUTHERN CALIF ED CO LTD 1ST & REF 3 MS 1 9 165 5 000 ✓</p> <p>TEXAS CORP DEBENTURE 3 MN 15 5 15 65 3 000 ✓</p> <p>US OF AMER SERIES G 5/1/41 REG 2 1/2 MN 1 5 153 5 800 ✓</p> <p>US OF AMER SERIES G 8/1/41 REG 2 1/2 FA 1 8 153 2 600 ✓</p> <p>US OF AMER SERIES G 1/1/42 REG 2 1/2 JJ 1 1 154 5 000 ✓</p> <p>US OF AMER SERIES G 11/1/42 REG 2 1/2 MN 1 11 154 5 000 ✓</p> <p>US OF AMER SERIES G 12/1/43 REG 2 1/2 JD 1 12 155 1 200 ✓</p> <p>US OF AMER TREAS 2/1/44 2 1/2 MS 15 3 15 70 4 000 ✓</p> <p>... PREFERRED STOCKS ...</p> <p>GENERAL FOODS CORP 4.5D PFD 20</p> <p>GENERAL MOTORS CORP 5D PFD 100</p> <p>INTL HARVESTER CO 7PC PFD 50</p> <p>LIGGETT & MYERS TOBACCO CO 7PC PFD 50</p> <p>UNITED STATES STEEL CORP 7PC PFD 100</p> <p>... COMMON STOCKS ...</p> <p>AMERICAN TELEPHONE & TELEGRAPH CO 38</p> <p>AMERICAN TOBACCO CO COMMON B 50</p> <p>CHICAGO & NORTHWESTERN RY CO COM 100</p> <p>CONSOLIDATED NATURAL GAS CO CAP 10</p> <p>CONTINENTAL ILL N/B & T CO CHICAGO 60</p> <p>DELAWARE LACKAWANNA & WESTN RR CO 50</p> <p>NEW JERSEY ZINC COMPANY 100</p> <p>REYNOLDS/R J TOBACCO CO COMMON B 85</p> <p>SEARS ROEBUCK & CO CAPITAL 100</p> <p>SOUTHERN PACIFIC COMPANY CAPITAL 50</p> <p>STANDARD OIL CO KENTUCKY 100</p> <p>STANDARD OIL CO NEW JERSEY 102</p> <p>VIRGINIA COAL & IRON CO CAPITAL 100</p> <p>... MISCELLANEOUS ...</p> <p>AGREEMENT 1/27/15 RE TRUST ASSETS HELD IN KENTUCKY 1</p> <p>CASH BALANCE PRINCIPAL 745 29</p>							
						MATURITY 2000 OR LATER	↑ *

82453

United States Trust Company of New York

[20 Nov. 1944]

UW E NORTON FOR EX NORTON SPECL AC

ACCOUNT NO.
359290

RATE INCOME DUE DATE MO. DAY MATURITY MO. DAY YR. CALL DATE

AMOUNT HELD

SCHEDULE OF PROPERTY
CLOSE OF BUSINESS NOV 20 1944

... BONDS ...

US OF AMER SERIES G	1 / 1 / 42	REG	2 1 / 2	JJ	1	1	154	7000 ✓
US OF AMER SERIES G	3 / 1 / 42	REG	2 1 / 2	MS	1	3	154	5000 ✓
US OF AMER SERIES G	11 / 1 / 42	REG	2 1 / 2	MN	1	11	154	3000 ✓
US OF AMER TREAS			3	MS	15	9	1555	1400 ✓

... COMMON STOCKS ...

CONSOLIDATED NATURAL GAS CO CAP								15
CONTINENTAL ILL N/B & T CO CHICAGO								36
GENERAL MOTORS CORP COMMON								50
INTL NICKEL CO CANADA LTD COMMON								75
NEW JERSEY ZINC COMPANY								55
PROCTER & GAMBLE CO COMMON								55
STANDARD OIL CO NEW JERSEY								150
CASH BALANCE PRINCIPAL								44 36

MATURITY 2000
OR LATER

↑
*

November 24, 1944.

File
Mr. H. Bruce Whitmore,
Assistant Treasurer,
Central Hanover Bank &
Trust Company,
P. O. BOX 33,
Church Street Annex,
New York 15, N. Y.

re: A 10142 T

Dear Mr. Whitmore:

I want to thank you for your letter of November 21st enclosing the information desired. Your information regarding the Federal Income Tax and the Kentucky State Income Tax paid this year by Mr. Ex Norton was particularly appreciated.

I was under the impression that there was some cash in Mr. Ex Norton's agency account, but I do not imagine this amounts to much and do not want you to bother answering in that regard. I have turned over your information to the Citizens Fidelity Bank & Trust Company and we will come to you again anyway shortly.

With best regards, I remain,

Very truly yours,

N:4

BY: J. B. HILLIARD & SON

DR. RANDOLPH DADE
OFFICE: PHOENIX BUILDING

Residence Phone 113

Office Phone 11

For _____

Address _____

Nov 29/46

Date _____

R. Mr. Walter: Please excuse this scratch
note, your letter came in yesterday and
immediately complying with your request.
Be happy to do you. I need you
with out my name as to insurance claim,
just had me a request, Cousin Ex talked to
me about the policy at times he applied for
it. I hope you and your wife are well
I am writing you to say and your wife
and yours

HIGGINS DRUG STORE
Pharmacists
9th & Main

For Womans

U. S. Reg. No. 3979

M. D.

This Is Your Prescription For A Specific Need. Particulars
Never Offer It To A Friend For A Seemingly Similar Ailment.

8453

The Filson Historical Society

United States Trust Company of New York

45 WALL STREET
NEW YORK 5, N. Y.

GEORGE F. LEE,
ASSISTANT VICE-PRESIDENT

January 10, 1945

Mrs. Walter N. Haldeman
Glenview
Jefferson County
Kentucky

Dear Mrs. Haldeman:

For your information, we have given considerable thought to the liquidation of certain items in the two trusts under will of Eckstein Norton which are to be divided so that you will receive one-third of the corpus of each of these trusts, less sundry expenses. In dividing the portfolios of these two trusts into three parts it seems sound, in view of the provisions under which the resulting trust for your benefit will be managed, to retain common stock holdings wherever they meet investment quality. This step is judged to be proper in consideration of the fact that while we as trustee are permitted to retain issues already held in the present trusts, we will be unable to reinvest in non-legal items. OK
WA

With the foregoing thoughts in mind we are therefore submitting below a list of the items held showing the proposed holdings and the amounts which we believe should be liquidated at this time:

		<u>General Trust</u>	
<u>Amt. now held</u>		<u>Amt. to be delivered to your trust</u>	<u>Items to be sold to facilitate division</u>
\$10,000	American Tel. & Tel. Debenture 3 1/4s of 1961	(3)	1 ✓
5,000	Liggett & Myers Tobacco 5s of 1951	-	5 ✓
10,000	New York City Corporate stk. 3s of 1980	(3)	1 ✓
5,000	Southern California Edison 1st & Refunding 3s of 1965	-	5 ✓
3,000	Texas Corporation Debenture 3s of 1965	-	3 ✓
6,000	National Dairy Products Debenture 3 1/4s of 1960	(2)	-
4,000	U. S. Treasury 2 1/2s of March 15, 1970/65	(1) Undetermined	-

[10 Jan. 1945]

United States Trust Company of New York.

Mrs. Walter W. Haldeman

Page 2

		General Trust-(cont'd)	
<u>Amt. now held</u>		<u>Amt. to be delivered to your trust</u>	<u>Items to be sold to facilitate division</u>
38 shs	American Tel. & Tel. com.	12	2 ✓
50 shs	American Tobacco "B" com.	16	2 ✓
50 shs	Delaware Lackawanna & Western Railroad com.	16	2 ✓
100 shs	General Motors \$5 pfd.	22	2 ✓
50 shs	International Harvester pfd.	16	2 ✓
50 shs	Liggett & Myers Tobacco pfd.	16	2 ✓
85 shs	R. J. Reynolds Tobacco "B" com.	28	1 ✓
100 shs	United States Steel Corp. pfd.	33	1 ✓
100 shs	Virginia Coal & Iron	33	1 ✓
100 shs	Sears Roebuck & Company	33	1 ✓
100 shs	Standard Oil of Kentucky	33	1 ✓
102 shs	Standard Oil of New Jersey	34	-
50 shs	Southern Pacific com.	16	2 ✓
100 shs	New Jersey Zinc	33	1 ✓
60 shs	Continental Illinois National Bank & Trust Company	20	-
10 shs	Consolidated Natural Gas capital.	-	10 ✓

The reason for the sale of the Liggett & Myers Tobacco Company 5s, Southern California Edison 5s and Texas Corporation Debenture 3s are based on the fact that only one bond would be left in each trust. Furthermore, consideration has been given to the fact that the Texas Corporation bonds are selling above their call price, the Southern California Edison at call, while the Liggett & Myers Debentures are selling at around 120 where the yield to maturity amounts to about 1.20%. It seems to us that these funds can be better employed in United States Government bonds for your purposes. May we also call attention to the fact that we have not noted various holdings of United States Series "G" 2 1/2% Savings bonds which are being submitted to the Treasury for payment at par as is customary when a death occurs.

In the Special Trust the following transactions are contemplated:

<u>Amt. now held</u>		<u>Amt. to be delivered to your trust</u>	<u>Items to be sold to facilitate division</u>
\$1,400	U. S. Treasury 3s of September 15, 1955/51	1400	Undetermined
150 shs	Standard Oil of New Jersey com.	50	-
55 shs	New Jersey Zinc capital	18	1 ✓
55 shs	Procter & Gamble com.	18	1 ✓
50 shs	General Motors Corp. com.	16	2 ✓
75 shs	International Nickel	25	-
36 shs	Continental Illinois National Bank & Trust Company	12	-
15 shs	Consolidated Natural Gas	-	15 ✓

[10 Jan. 1945]

United States Trust Company of New York.

Mrs. Walter N. Haldeman

Page 3

As in the case of the General Trust, we plan to surrender to the Treasury sundry United States Treasury Series "G" 2 1/2% Savings bonds for payment at par. Sale of the 15 shares Consolidated Natural Gas stock rather than division seems proper in view of the relatively small commitment which at current levels would amount to about \$150 for your trust.

Why?

~~We are purposely not submitting any reinvestment program for the proceeds of the various issues which have been indicated for sale or redemption since it is not practical at this time to estimate the exact expenses involved.~~ However, as soon as we are in a position to appraise these and when the foregoing transactions have been completed, we will submit a reinvestment program for your consideration. In the interim, in order that our records may be maintained in proper order and so that we may proceed with the distribution of the corpus of the General and Special Trusts, will you be kind enough if you approve of the suggestions contained herein to sign the enclosed copy of this letter and return it to us.

Very truly yours

Benjamin Lee
Assistant Vice President

A.A. Fraser/bcf
Enclosure

Approved: *Walter N. Haldeman, supplemented*
by your letter of January 17, 1945.

Mailed Jan 20, 1945

ESX-28

United States Trust Company of New York

45 WALL STREET
NEW YORK 5, N. Y.

GEORGE F. LEE,
ASSISTANT VICE-PRESIDENT

January 17, 1945

Mrs. Walter N. Haldeman
Glenview
Jefferson County
Kentucky

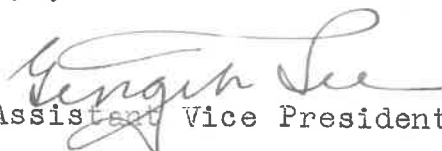
Dear Mrs. Haldeman:

Trust under deed of Jane Norton Haldeman
for your benefit

We received your letter of January 14th in answer to ours of the 10th in which we outlined the proposed transactions in the two trusts under the will of Eckstein Norton and indicated the items which are to be received into the above-designated trust for your benefit. In view of the previously expressed desires of the other beneficiaries of Mr. Norton's will and the fact that Mr. Haldeman had approved the redemption of all the Series "G" bonds, we have proceeded to submit them to the Treasury for collection.

It is our intention that as soon as it is feasible to transfer the items shown in our letter of January 10th to your trust and to reinvest the available cash balance in United States Series "G" 2 1/2% bonds as approved in your recent letter, we will do so. Slightly more than our estimated expenses will be left in cash in the two trusts under the will of Eckstein Norton. The transfer and the purchase of the United States Series "G" bonds should be accomplished shortly. We should be pleased to have an indication regarding whether or not you approve the procedure we have in mind.

Very truly yours


Assistant Vice President

A. A. Fraser:md

COPY OF
January 14, 1945

Dear Mr. Bee:

I have received your letter of Jan 10, and find it in agreement with you on the following points.

1. That it seems sound to retain as many of the common and preferred stocks as possible.

2. That the items which you selected should be sold

3. That these funds can be better employed in U.S. Government bonds for my purposes

4. That all or most of the \$34,600 U.S. Series "G" bonds be surrendered at par.

(If \$12,000 of these bonds due Jan 1, 1954 are exchanged, instead of collected, I will approve.)

However, I am not in agreement with your last paragraph, in which you indicate reinvestment will be delayed until the exact amount of expenses is known, which might be 6 months or longer. I have in mind the following points for this disagreement.

1. Your suggested sales would raise about \$19,510 Cash, to which must be added \$2,150 of General Funds Pfd called Jan 15, plus \$780 Uninvested Cash on hand plus \$34,600 Par Value of Series "G" bonds (or \$22,600 if the \$12,000 "G" due Jan 1, 1954 are exchanged), making a total of \$57,040 Cash (or \$45,040 Cash if \$12,000 "G" due Jan 1, 1954 are exchanged). This seems entirely

too large a part of the corpus to leave uninvested

2. Some approximation of expenses ought to be possible even if they are widely

19,510

2,150

780

34,600

57,040

19

over-estimated. Frankly I would believe the following ample, with a margin to spare, to set aside for payment of expenses:

\$1,200 U.S. Treasury 3% 1951-55

4,000 U.S. Treasury 2½% 1965-70

3,000 Texas Co 3% 1965.

Accordingly, I can not see my way clear to approve your letter as it now stands, but believe this divergence can be easily cured, if you would change it in the following or a similar manner.

A* Set aside bonds to meet ^{roughly estimated} expenses

B* Sell for Cash the items you have selected, after setting aside "A"

C* Reinvest my share of the Proceeds, which could be either \$19,000, \$18,000, or \$14,000 in U.S. Treasury Ser "G" 2½% Bonds

I believe you could work this out so that I would receive in addition to the amounts marked "To be delivered to you Trust", \$18,000 U.S. Series G 2½% ^{or more} ~~and \$14,000~~ ~~1955~~ ^{even} while a group of bonds is held aside for expenses. If you can work out such a plan, I hereby approve same for your immediate execution.

Very truly yours.

Jane N. Haldeman

Jan 20, 1945 Proposal

Sales Suggested

\$1,000	American Tel & Tel 3 1/4 % 1961	@107	= \$1,070
5,000	Riggall & Myers 5 % 1951	@120	= 6,000
1,000	New York City 3 % 1980	@113	= 1,130
5,000	Southern Calif Ed 3 % 1965	@105	= 5,250
3,000	Texas Co 3 % 1965	@106	= 3,180
20 shs	General Foods 4 1/2 % Pfd called	@107 1/2 ^(buy 3/8)	= 2,150
1 sh	General Motors 5 % Pfd	@129	= 129
2 sh	Riggall & Myers 7 % Pfd	@180	= 360
2 sh	International Harvester 7 % Pfd	@180	= 360
1 sh	U.S. Steel 7 % Pfd.	@135	= 135
2 sh	Amer. Tel & Tel.	@164	= 328
2 sh	Amer Tob B	@67	= 134
2 sh	Del. Cash & Western	@10	= 20
25 sh.	Consol. Natural Gas	@32	= 800
2 sh	General Motors	@64	= 128
2 sh	N. J. Zinc	@66	= 132
1 sh	Procter & Gamble	@59	= 59
1 sh	R. J. Reynolds Tob B	@33	= 33
1 sh	Sears Roebuck	@104	= 104
1 sh	Standard Oil of Ky	@19	= 19
2 sh	Southern Pacific	@43	= 86
1 sh	Virginia Coal & Iron.	@56	= 56

21,663

2,150

\$ 19,513

(border)

48 sh from Bond 2,883.

\$ 21,663.00

In addition, \$34,600 U.S. Treas Sec "G" @100 = \$34,600.00

Cash 789.65

\$ 57,050.00

\$ 56,263.00

In case \$12,000 "G" are exchanged = \$44,263.00

Jan 20 1945 Proposal

would leave following

<u>Combined Accounts</u>		<u>1/3 Share</u>	
\$1,400.	U. S Treasury 3% 1951-55	} <u>for Expenses</u>	NOT Desirable
4,000.	do 2 1/2% 1965-70		NOT Desirable
9,000.	N. Y. City 3% 1980.		\$ 3,000
9,000.	Amer. Tel + Tel 3 1/4% 1961.		\$ 3,000
6,000.	Natl Dairy Products 3 1/4% 1960		\$ 2,000
\$58,050.	Uninvested Cash.		<u>\$ 19,000.</u>

99 sh	Genl Mtn 5% Pbd.	33 sh.
48 sh.	Automat Hawaii 7% Pbd	16 sh.
48 sh	Ciggert + Meyer 7% Pbd	16 sh.
99 sh	U. S. Steel 7% Pbd	33 sh.
36 sh	Amer. Tel + Tel.	12 sh.
48 sh	Amer. Tel B.	16 sh.
96 sh	Cont. Oil Natl Bldg + Co	32 sh.
48 sh	General Motor	16 sh.
75 sh	Automat Michl.	25 sh.
153 sh	N. J. Zinc.	51 sh.
54 sh	Procter + Gamble	18 sh.
84 sh	R. J. Reynolds Tel B	28 sh.
99 sh	Sears - Roebuck	33 sh.
252 sh	Standard Oil N. J.	84 sh.
99 sh	Standard Oil Ky	33 sh.
99 sh	Virginia Coal + Iron	33 sh.
48 sh	Del. Cash + West.	16 sh.
48 sh	Southern Pacific	16 sh.

1/3 share

After Re-investment of Cash.

Income.

BONDS

Market

\$100. ⁰⁰	\$4,000.	U.S. Treasury Sec "G" 2 1/2% due Jan, 1954 =	\$4,000.
35 ⁰⁰	14,000.	do 2 1/2% due Jan, 1957 =	14,000.
90. ⁰⁰	3,000.	New York City 3% 1980 @113 =	3,390.
97. ⁵⁰	3,000.	American Tel & Tel 3 1/4% 1961 @107 =	3,210.
65. ⁰⁰	2,000.	Natl Raily Prod. 3 1/4% 1960 @107 =	2,140
<u>\$ 702.⁵⁰</u>	<u>\$ 26,000.</u>		<u>\$ 26,740</u>

PREFERRED

165. ⁰⁰	33 sh.	General Motors 5% Pfd @129 =	4,257
112. ⁰⁰	16 sh.	Intematl Harvester 7% Pfd @180 =	2,880
112. ⁰⁰	16 sh.	Wiggitt & Myers 7% Pfd @180 =	2,880
231. ⁰⁰	33 sh.	U.S. Steel 7% Pfd @140 =	4,620
<u>\$ 620.⁰⁰</u>			<u>\$ 14,637</u>

COMMONS

106	12 sh.	American Tel & Tel @164	\$1,968
48	16 sh.	American Tobacco "B" @68	1,088
128	32 sh.	Gen. Del. Natl BR. @106	3,392
48	16 sh.	General Motors @64	1,024
40	25 sh.	Intematl Nichol @30	750
153	51 sh.	N. J. Zinc (3) @66	3,366
36	18 sh.	Procter & Gamble (2) @59	1,062
42	28 sh.	R. J. Reynolds Tob B (1 1/2) @34	952
140. ²⁵	33 sh.	Seas Roeluck & Co. (4/4) @103	3,400
210.	84 sh.	Stand Oil of N. J. (2 1/2) @59	4,956
33	33 sh.	Stand Oil of Ky (1) @19	627
132	33 sh.	Virginia Coal & Iron (4) @56	1,848
0	16 sh.	Rel. Coal & Western 11/2 @10	160
40	16 sh.	Southern Pacific Co. (2 1/2) @43	688
<u>\$ 1,156.²⁵</u>			<u>25,281.</u>

\$ 2,478.⁷⁵

Total \$ 66,658

- 123.94 = 5% Com

with \$8,500 set-off "Expenses"

\$ 2,354.⁸¹ = Distributable U.S. Term Co

1/3 = \$2,835.⁰⁰

219.³⁷ = Distributable Com. Harover

@3% = \$85.⁰⁰

\$ 2,574.¹⁸

a little less than 3 1/2% on \$75,000

SHELBY IRON COMPANY

BIRMINGHAM, ALABAMA

May 6, 1945

J. J. B. Hilliard & Son,
419 West Jefferson Street,
Louisville 2, Kentucky.

Attention Mr. Walter N. Haldeman

Gentlemen:

Replying to yours of April 30th.

We do not consider that the stock of this company has any value. All operations were discontinued several years ago, and the plant and equipment was sold, the proceeds being applied to indebtedness. The company now own a considerable acreage of cut-over timber lands, but it is not considered that the value is sufficient to cover outstanding indebtedness. If it is desired to show some value on your Tax Appraisal, would suggest that you make this very low, - certainly not more than 25¢ per share, this being simply a suggestion.

Very truly yours



President

HLM/m

The Filson Historical Society

4 11 20



SIBYL POOL
SECRETARY OF STATE

STATE OF ALABAMA
OFFICE OF SECRETARY OF STATE
MONTGOMERY 4, ALABAMA

March 20th, 1945

Mr. Walter N. Haldeman
J. J. B. Hilliard & Son
419 West Jefferson Street
Louisville 2, Kentucky

Dear Sir:

7 sls

Replying to your favor of the 16th I beg to advise that the domestic corporation records on file in this office disclose that Shelby Iron Co. incorporated in Shelby County, Shelby, Alabama on November 5th, 1930 with an authorized and paid in capital stock of \$10,000.00. The incorporators are shown to be P. H. Neal, J. G. Hendrick and W. F. Shillito. The Department of Revenue advises that this corporation has paid the current taxes and is therefore in good standing.

Yours very truly,

Sibyl Pool

Sibyl Pool
Secretary of State

eh

CONNORS STEEL COMPANY

MANUFACTURERS OF

COTTON TIES, STEEL HOOPS AND LIGHT BANDS
SMALL ANGLES, REINFORCING BARS, SHAPES ETC.

GENERAL OFFICE, EMPIRE BUILDING

BIRMINGHAM, ALA.

June 6, 1933

CABLE ADDRESS
"CONNORS"
CODES
BENTLEY'S
A. B. C. 6TH EDITION

Mr. Ex Norton,
% Latham Hotel,
Hopkinsville, Ky.

Dear Mr. Norton:

Referring to conversation had with you last Saturday evening in the lobby of Hotel Latham in reference to the Shelby Iron Company, I have made some little investigation in the affairs of this concern and the following is all the information that I have up to this time.

H. L. Morrow, Pres., Birmingham, Ala. J. G. Hendrick, Sec. & Treas., Shelby, Ala. The property is located at Shelby in Shelby County, Alabama, which adjoins Jefferson in which Birmingham is located. The Shelby Iron Company was incorporated in 1890 with an authorized capital stock of \$1,000,000.00. The company manufactured pig iron using charcoal as a fuel. They discontinued operations in 1924 and have not operated since that time. The plant has been dismantled and sold and the company only owns the real estate. I understand that this property is free from incumberances, but that a lot of money has been borrowed from the stockholders to pay the expenses. I, also, understand that the only operation carried on by this company is a small commissary located at Shelby for the accomodation of the inhabitants of that part of the county and that the bills made by this commissary are taken care of in a satisfactory manner.

Mr. Morrow is also Treasurer of the Alabama By-Products Company, a going concern located in Birmingham using coal as a raw material and manufacturing coke and its by-products.

The real property referred to above has been variously estimated to be worth between \$200,000.00 and \$300,000.00.

I am unable to secure a financial statement of the concern, but would imagine from information that I have that the stock of the company is worth very little, especially in view of the fact that if the real estate was sold it would not bring much more than is now owing to the stockholders for borrowed money.

6 June 1933

G. W. CONNORS,
PRESIDENT

W. M. HOKE,
VICE-PRES. & TREAS.

G. W. CONNORS, JR.,
VICE-PRESIDENT

J. C. STALLINGS,
SECRETARY

CONNORS STEEL COMPANY

MANUFACTURERS OF

COTTON TIES, STEEL HOOPS AND LIGHT BANDS
SMALL ANGLES, REINFORCING BARS, SHAPES ETC.

GENERAL OFFICE, EMPIRE BUILDING

BIRMINGHAM, ALA.

CABLE ADDRESS
"CONNORS"

CODES
BENTLEY'S
A.B.C. 6TH EDITION

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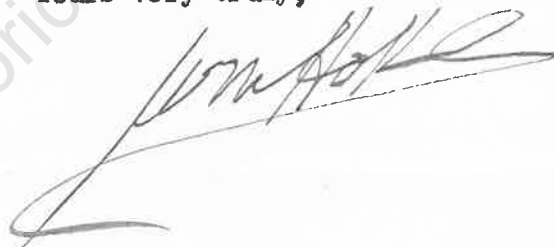
If I can get any further information will advise you later. This letter is written to you in strict confidence and my name is not to be used in discussing the matter.

With best wishes, beg to remain,

Yours very truly,

WMH/C

6/10/33.



The Filson Historical Society

G. W. CONNORS,
PRESIDENT

W. M. HOKE,
VICE-PRES. & TREAS.

G. W. CONNORS, JR.,
VICE-PRESIDENT

J. C. STALLINGS,
SECRETARY

CONNORS STEEL COMPANY

MANUFACTURERS OF

COTTON TIES, STEEL HOOPS AND LIGHT BANDS
SMALL ANGLES, REINFORCING BARS, SHAPES ETC.

GENERAL OFFICE, ¹¹⁰⁹EMPIRE BUILDING

BIRMINGHAM, ALA.

June 12, 1933

CABLE ADDRESS
"CONNORS"

CODES
BENTLEY'S
A.B.C. 6TH EDITION

Mr. Ex. Norton,
% Kentucky Hotel,
Louisville, Ky.

Dear Mr. Norton:

I have your kind favor of June 10 acknowledging receipt of my letter of the 6th inst., giving you information concerning the Shelby Iron Company.

I do not object to your using the information as suggested in your letter. Some of this information was obtained through the mercantile agencies, and it is supposed to be for our information only, is the reason I asked you to keep the matter confidential.

Trusting that you are well and with kind regards, beg to remain,

Sincerely yours,

WMH/C

6/13/33.



The Filson Historical Society

82463

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK 15, N. Y.

July 19, 1945

TN 10490 T

1945 JUL 21 AM 8 150

1945 JUL 21 AM 8 150

Mr. Walter N. Haldeman
c/o J. J. B. Hilliard & Son
409 West Jefferson Street
Louisville 2, Kentucky

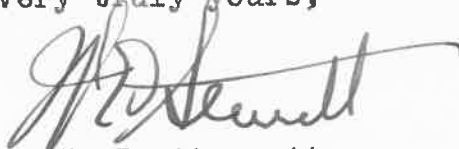
Re: Trust u/A for Ex Norton

Dear Mr. Haldeman:

In reply to your letter of July 17, 1945 we wish to state that a copy of the Account of Proceedings in the above mentioned trust covering the period from December 19, 1934 to October 27, 1944 has been forwarded to you by our attorneys. Schedule H of this accounting gives a complete list of securities together with their market value on October 27, 1944.

You are correct in your understanding that there is a balance of \$178.20 due the Ex Norton Estate. This money will be forwarded to the Administrators as soon as the account has been settled.

Very truly yours,



W. E. Sterrett
Assistant Secretary

July 17, 1945

Mr. C. W. Hiester, Assistant Secretary,
Central Hanover Bank & Trust Company,
70 Broadway,
New York 50, N. Y.

Dear Mr. Hiester:

As one of the Administrators of the estate of Mr. Ex Norton I am writing you regarding his trust account No. TN 10490 T. It looks as if this trust fund is subject to the Kentucky Inheritance Tax, and as I have never seen a complete list from you regarding its contents, I am uncertain as to the report we should make to the State of Kentucky. If you will send us a list of the property held by this account October 27, 1944, it will be greatly appreciated.

Also I understand that there is a balance of \$178.20 due the Ex Norton estate, and I wonder when this will be forwarded to the Administrators. The U. S. Trust Company of New York has already paid a similar balance and it would seem proper to get this balance cleaned up also.

Very truly yours,

N:LH

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK 15, N. Y.

March 2, 1945

TN 10490 T
A 10142 T

Mr. Walter N. Haldeman
c/o J. J. B. Hilliard & Son
419 West Jefferson Street
Louisville 2, Kentucky

Re: Ex Norton, dec'd.

Dear Mr. Haldeman:

We acknowledge receipt of the affidavit of Ex Norton's residence which you sent us in your letter of February 27th. We wish to thank you for your cooperation in this matter.

~~The assets held in the agency account, A-10142-T are being placed in form for transfer to the Citizens Fidelity Bank and Trust Company of Louisville in accordance with your written request dated February 16, 1945.~~

In New York State it is not permissible for a corporation to practice law and, therefore, it will not be possible for this bank to prepare the legal papers necessary for a settlement of our accountings on the trusts. As soon as these accountings are completed we will submit them to Mr. Philip Boardman for the preparation of the legal details which he considers essential for the settlement of these accounts.

We trust that this arrangement will be satisfactory to the Norton Family.

Very truly yours,



C. W. Hiester
Assistant Secretary

Feb. 27, 1945

Mr. C. W. Hiester, Asst. Secy.,
Central Hanover Bank & Trust Co.
70 Broadway,
New York 15, N.Y.

Dear Mr. Hiester:

I received your letter of Feb. 19th last week. As requested, I am enclosing herewith an affidavit regarding Mr. Ex Norton's residence, which I hope completes your records, and releases for shipment the assets held in Agency Account A-10142-T.

I note your remarks that accountings of each of the Trusts created by Mr. Norton, will be completed shortly and that it is your plan to have Mr. Philip Boardman handle the legal details for the settlement of these accountings, if it meets with the approval of the family. I understand that these are not Court accountings and that all the Trusts continue just as they did before. The family and I have all seen the statements that you sent Mr. Norton in the past and are familiar and satisfied with the status of these accounts.

In view of these facts, we at this end of the line frankly do not see the necessity for incurring the expense of a lawyer to approve your accounts. If settlement can be arranged without the cost of a lawyer, it would be appreciated at this end. However, if a lawyer is absolutely necessary, we believe that Mr. Philip Boardman should handle the matter.

Very truly yours,

Robert N. Boardman

CENTRAL HANOVER BANK AND TRUST COMPANY

SEVENTY BROADWAY

NEW YORK 15, N. Y.

February 19, 1945

A 10142 T - AGENCY 9
TN 10490 T - TRUST

Mr. Walter N. Haldeman
c/o J. J. B. Hilliard & Son
419 West Jefferson Street
Louisville 2, Kentucky

Re: Ex Norton, deceased

Dear Mr. Haldeman:

We wish to acknowledge receipt of a certified photostatic copy of the certificate of death of Ex Norton together with a certified copy of the appointment of the Citizens Fidelity Bank and Trust Company of Louisville, Kentucky and Walter N. Haldeman as administrators C.T.A. of the estate of Ex Norton which was enclosed in your letter of February 16, 1945. We have obtained a copy of the will from the U. S. Trust Company of New York City.

Our records indicate that Mr. Norton was not a legal resident of the State of New York on the date of his death. However, in order that it will not be necessary for you to supply us with tax waivers we would appreciate your sending us an affidavit of non-residence in order to complete our records. When this affidavit has been received, we will arrange to forward the assets held in the agency account, A-10142-T, to the Citizens Fidelity Bank and Trust Company, attention of Mr. Ernest Kampfmüller, Assistant Vice President.

The cash held in the principal account as shown on a statement rendered December 11, 1944 was \$263.67. Our statement rendered February 9, 1945 shows this principal cash item to be the same and there was \$79.75 in the income account.

Mr. Walter N. Haldeman

February 19, 1945

Accountings have been prepared on each of the trust accounts which were created by Mr. Norton for his daughters, his wife and himself. These will be completed in the near future and will reflect the status on the date of his death. Our records indicate that Mr. Philip Boardman, of Conboy, Hewitt, O'Brien & Boardman, was the attorney who advised Mr. Norton in the creation of these accounts and it is our plan, provided it meets with the approval of the family, to have Mr. Boardman's office handle the legal details for the settlement of these accountings.

In view of the fact that the estate will be a necessary party to the settlement of our accountings on some of these trusts, we will be unable to forward the check for the accrued income due the estate on TN-10490-T until our accountings are completed.

We have noted on our records the instructions of Mrs. Jane Helm Norton for the payment of future income on this trust account and we will be pleased to comply with her request. At the present time there is on hand an amount slightly in excess of the reserve required for the accrued income due the estate and, therefore, we believe it advisable to defer the start of these income payments until a later date. We trust that this arrangement will be satisfactory with Mrs. Norton.

*Hiester
write Ben
C.H. would
slaw March 18
1945.*

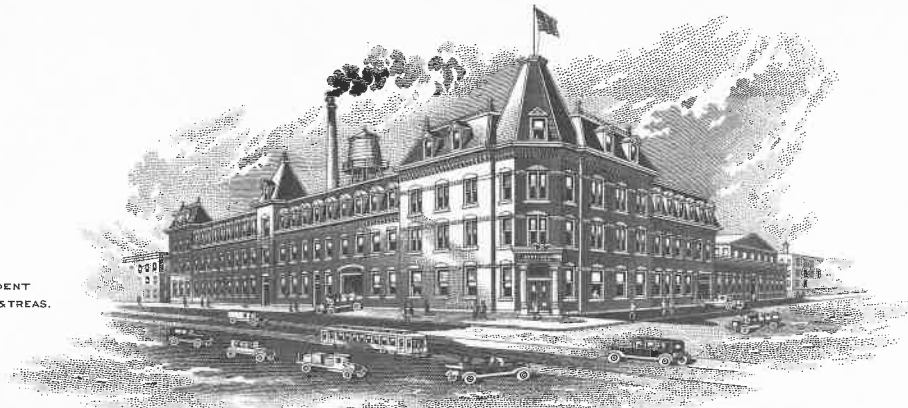
Very truly yours,



C. W. Hiester
Assistant Secretary

T. C. GAINES, PRESIDENT
A. M. STORK, SECY. & TREAS.

ESTABLISHED 1887.



KENTUCKY LITHOGRAPHING COMPANY

INCORPORATED

LITHOGRAPHERS, PRINTERS, BLANK BOOK MANUFACTURERS, STATIONERS
MAIN & HANCOCK STS.

LOUISVILLE, KY.

Jun 26, 1945

Mr. Walter N. Haldeman,
% J. J. B. Hilliard & Son
419 W. Jefferson St.
Louisville, Ky.

Dear Sir :

I want to thank you sincerely, on behalf of Lincoln Institute of Kentucky for the 1000.00 check under the will of Mr. Norton. I am today putting this into our Trust Endowment Fund, which is our permanent Investment Fund.

Yours truly,

By

T. C. Gaines

T. C. Gaines, Treas.

Lincoln Institute of Kentucky

TCG:MH

cc to Tydings

Feb. 16, 1945

Mr. C. W. Heister, Asst. Secy.,
Central Hanover Bank & Trust Co.
70 Broadway
New York, N.Y.

Dear Mr. Heister:

The Citizens Fidelity Bank & Trust Co. of Louisville, Ky. and I have finally qualified as Administrators of the Estate of Ex Norton, and I am enclosing herewith certified copy of our appointment together with a death certificate. If you also need a copy of the Will, we can supply this. The Citizens Fidelity tell me, however, that this is not necessary and the U.S. Trust Co., 45 Wall St., have a copy of this on hand already.

I understand that upon receipt of these papers you will ship to us all the contents of the Ex Norton Agency Account No. A10142, including cash and securities. I understand also that you will send to us a check for the income accrued to Oct. 27, 1944 in the Ex Norton Trust No. TN10490T, which has been indicated by you as about \$182.05. Will you please send all of this to the

Citizens Fidelity Bank & Trust Co.
P.O. Box 1140
Louisville 1, Ky.
Attention Mr. Ernest Kampfmüller, Asst. V.P.

The Ex Norton Trust No. TN10490T provides that income after Oct. 27, 1944 is to be paid to Mrs. Ex Norton (Mrs. Jane Helm Norton), Dartmouth Apts., Louisville 4, Ky. Mrs. Norton has asked me to write you and ask when she can expect some income from this Trust. In the past this

Mr. C. W. Heister, Asst. Secy.
Central Hanover Bank & Trust Co.
New York, N.Y.

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seems to have been paid out around the 20th
of each month in which income was received.
Mrs. Norton would like to have checks for such
income sent to the Citizens Fidelity Bank & Trust
Co. for credit to her account with a duplicate
mailed to her at the Dartmouth Apartments,
Louisville, Ky., just as you are doing on another
~~check fund~~ fund which you hold for her.

I know you will be glad to get this matter
cleaned up and want you to know that we appreciate
your prompt cooperation and assistance.

Very truly yours,

Walter H. Haldeman.

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The Filson Historical Society